



# An XES Extension for Uncertain Event Data

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# Event Log

Case id	Event id	Properties				
		Timestamp	Activity	Resource	Cost	...
1	35654423	30-12-2010:11.02	register request	Pete	50	...
	35654424	31-12-2010:10.06	examine thoroughly	Sue	400	...
	35654425	05-01-2011:15.12	check ticket	Mike	100	...
	35654426	06-01-2011:11.18	decide	Sara	200	...
	35654427	07-01-2011:14.24	reject request	Pete	200	...
2	35654483	30-12-2010:11.32	register request	Mike	50	...
	35654485	30-12-2010:12.12	check ticket	Mike	100	...
	35654487	30-12-2010:14.16	examine casually	Pete	400	...
	35654488	05-01-2011:11.22	decide	Sara	200	...
	35654489	08-01-2011:12.05	pay compensation	Ellen	200	...
3	35654521	30-12-2010:14.32	register request	Pete	50	...
	35654522	30-12-2010:15.06	examine casually	Mike	400	...
	35654524	30-12-2010:16.34	check ticket	Ellen	100	...
	35654525	06-01-2011:09.18	decide	Sara	200	...
	35654526	06-01-2011:12.18	reinitiate request	Sara	200	...
	35654527	06-01-2011:13.06	examine thoroughly	Sean	400	...
	35654530	08-01-2011:11.43	check ticket	Pete	100	...
	35654531	09-01-2011:09.55	decide	Sara	200	...
	35654533	15-01-2011:10.45	pay compensation	Ellen	200	...

## Case 1:

<register request, examine thoroughly, check ticket, decide, reject request>

## Case 2:

<register request, check ticket, examine casually, decide, pay compensation>

## Case 3:

<register request, examine casually, check ticket, decide, reinitiate request, ... >

- Events with **uncertain attributes**: might correspond to **two or more activities**, or the order between events is **lost**
- Correspond to multiple **possible scenarios** in real life
- Normally, these anomalies are considered noise and **filtered**
- **How do we extract insights from uncertain traces?**

## Uncertain Data - Example

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- A patient enrolls in a clinical trial for a treatment against blood cancer. The enrollment includes a lab exam and a visit with a specialist.
- The lab exam, performed on the 8th of July, detects thrombocytopenia (TP) in the patient's blood, **which might be primary** (symptom of the cancer) **or secondary** (from other causes).
- At the visit on the 10th, the patient reports **a possible episode** of night sweats occurred on the 5th. Moreover, the physician detects an enlargement of the spleen, but **it is unclear when this developed**.

## Uncertain Data - Example

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- This results in the following uncertain trace:

Case ID	Event ID	Timestamp	Activity	Indeterminacy
ID192	$e_1$	2011-07-05	<i>NightSweats</i>	?
ID192	$e_2$	2011-07-08	$\{PrimaryTP, SecondaryTP\}$	
ID192	$e_3$	[2011-07-04, 2011-07-10]	<i>SpleenEnlargement</i>	

## Uncertain Data - Example

- This results in the following uncertain trace:

Case ID	Event ID	Timestamp	Activity	Indeterminacy
ID192	$e_1$	2011-07-05	<i>NightSweats</i>	?
ID192	$e_2$	2011-07-08	{ <i>PrimaryTP</i> , <i>SecondaryTP</i> }	
ID192	$e_3$	[2011-07-04, 2011-07-10]	<i>SpleenEnlargement</i>	

**The NightSweats event might not have occurred: we mark it with this additional attribute**

## Uncertain Data - Example

- This results in the following uncertain trace:

Case ID	Event ID	Timestamp	Activity	Indeterminacy
ID192	$e_1$	2011-07-05	<i>NightSweats</i>	?
ID192	$e_2$	2011-07-08	{ <i>PrimaryTP, SecondaryTP</i> }	
ID192	$e_3$	[2011-07-04, 2011-07-10]	<i>SpleenEnlargement</i>	

Event  $e_2$  has two possible activity labels, indicating either primary or secondary thrombocytopenia

## Uncertain Data - Example

- This results in the following uncertain trace:

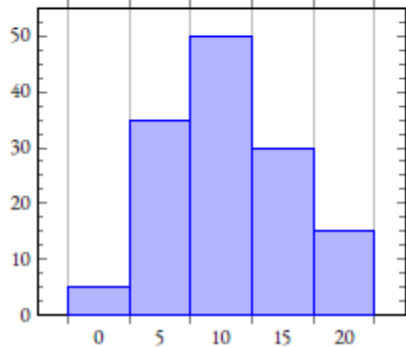
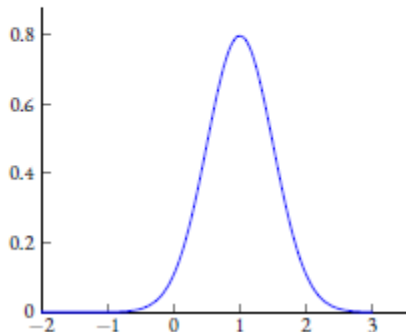
Case ID	Event ID	Timestamp	Activity	Indeterminacy
ID192	$e_1$	2011-07-05	<i>NightSweats</i>	?
ID192	$e_2$	2011-07-08	{ <i>PrimaryTP</i> , <i>SecondaryTP</i> }	
ID192	$e_3$	[2011-07-04, 2011-07-10]	<i>SpleenEnlargement</i>	

Event  $e_3$  might have occurred in any moment within an interval of time

Notice that the exact order of events is lost or unknown

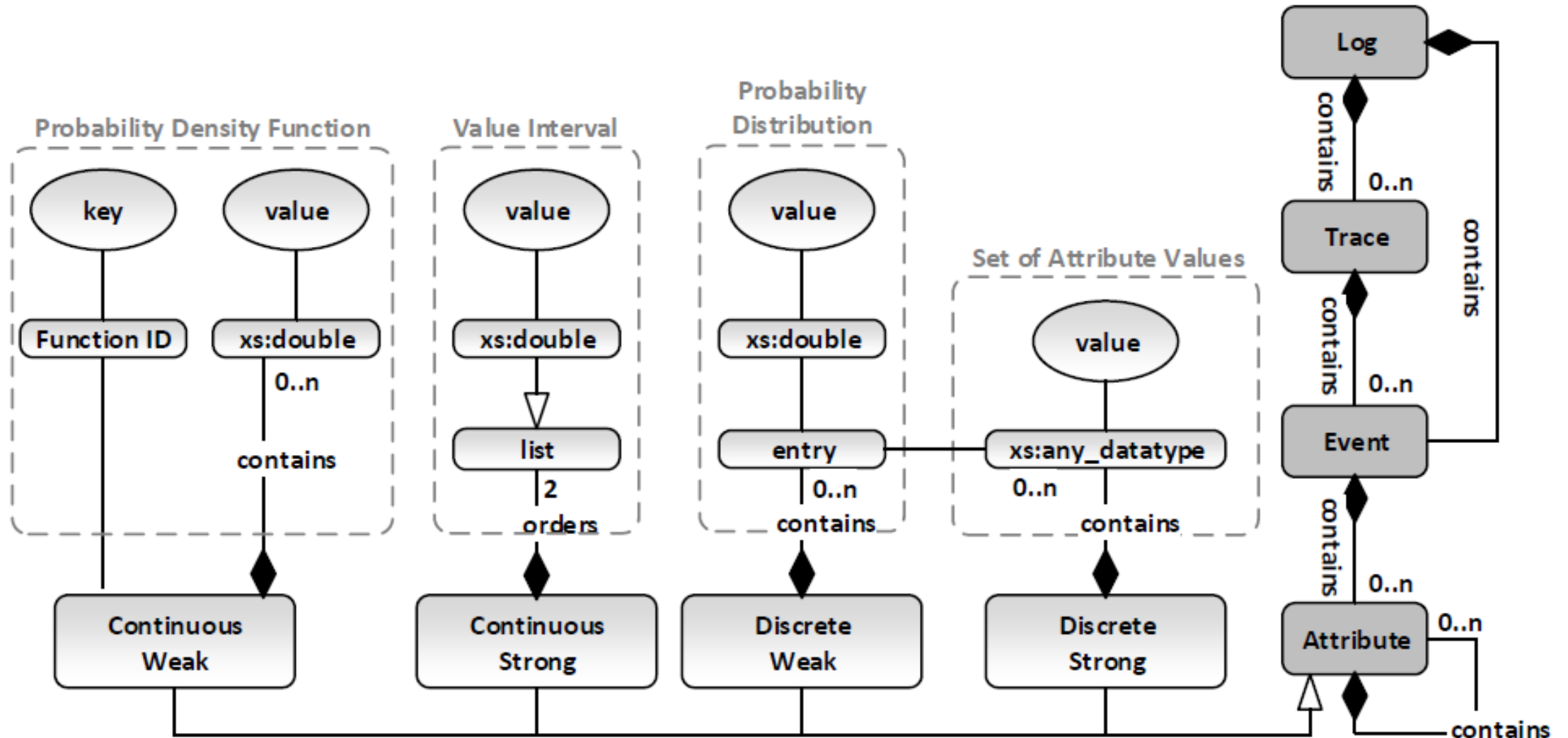


# Uncertain Data - Types

	Weak uncertainty	Strong uncertainty														
Discrete data	<p>Discrete probability distribution</p>  <table border="1"><caption>Discrete Probability Distribution Data</caption><thead><tr><th>x</th><th>Frequency</th></tr></thead><tbody><tr><td>0</td><td>5</td></tr><tr><td>5</td><td>35</td></tr><tr><td>10</td><td>50</td></tr><tr><td>15</td><td>30</td></tr><tr><td>20</td><td>15</td></tr></tbody></table>	x	Frequency	0	5	5	35	10	50	15	30	20	15	<p>Set of possible values</p> $\{x, y, z, \dots\}$		
x	Frequency															
0	5															
5	35															
10	50															
15	30															
20	15															
Continuous data	<p>Probability density function</p>  <table border="1"><caption>Probability Density Function Data</caption><thead><tr><th>x</th><th>f(x)</th></tr></thead><tbody><tr><td>-2</td><td>0.00</td></tr><tr><td>-1</td><td>0.00</td></tr><tr><td>0</td><td>0.05</td></tr><tr><td>1</td><td>0.80</td></tr><tr><td>2</td><td>0.05</td></tr><tr><td>3</td><td>0.00</td></tr></tbody></table>	x	f(x)	-2	0.00	-1	0.00	0	0.05	1	0.80	2	0.05	3	0.00	<p>Interval</p> $\{x \in \mathbb{R} \mid a \leq x \leq b\}$
x	f(x)															
-2	0.00															
-1	0.00															
0	0.05															
1	0.80															
2	0.05															
3	0.00															

- Process discovery and conformance checking techniques are now available for uncertain event logs [1,2]
- An important practical problem remains: **how to represent uncertain traces in a computer, in such a way that they can be manipulated with ease?**
- We propose an extension of the XES data standard for uncertain event data representation

# Uncertain Data XES Representation



# Uncertain Data XES Representation - Example

Case ID	Event ID	Timestamp	Activity	Indeterminacy
ID192	$e_1$	2011-07-05	<i>NightSweats</i>	?
ID192	$e_2$	2011-07-08	{ <i>PrimaryTP</i> , <i>SecondaryTP</i> }	
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```
1 <trace>
2   <string key="concept:name" value="ID192" />
3   <event>
4     <string key="concept:name" value="NightSweats" />
5     <date key="time:timestamp" value="2011-07-05T12:00:00+00:00" />
6     <container key="uncertainty:discrete_strong">
7       <bool key="uncertainty:indeterminacy" value="true" />
8     </container>
9   </event>
10  <event>
11    <string key="concept:name" value="PrTP" />
12    <date key="time:timestamp" value="2011-07-08T12:00:00+00:00" />
13    <container key="uncertainty:discrete_strong">
14      <string key="concept:name" value="PrTP" />
15      <string key="concept:name" value="SecTP" />
16    </container>
17  </event>
18  <event>
19    <string key="concept:name" value="Splenomeg" />
20    <date key="time:timestamp" value="2011-07-07T12:00:00+00:00" />
21    <list key="uncertainty:continuous_strong">
22      <date key="time:timestamp" value="2011-07-04T12:00:00+00:00" />
23      <date key="time:timestamp" value="2011-07-10T12:00:00+00:00" />
24    </list>
25  </event>
26 </trace>
```

## Uncertain Data XES Representation - Example

```
1 <trace>
2 <string key="concept:name" value="ID192" />
3 <event>
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16 </container>
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18 <event>
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20 <date key="time:timestamp" value="2011-07-07T12:00:00+00:00" />
21 <list key="uncertainty:continuous_strong">
22 <date key="time:timestamp" value="2011-07-04T12:00:00+00:00" />
23 <date key="time:timestamp" value="2011-07-10T12:00:00+00:00" />
24 </list>
25 </event>
26 </trace>
```

## Conclusion

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- Our XES extension proposal can **effectively represent uncertain event data and its meta-attributes**
- This enables analysis of uncertain log on XES-compliant platforms
- The extension is designed to allow for input, output and editing of uncertain data **on existing XES-compliant software (backwards compatible)**
- For more information: [https://github.com/proved-py/proved-core/tree/An\\_XES\\_Extension\\_for\\_Uncertain\\_Event\\_Data/data](https://github.com/proved-py/proved-core/tree/An_XES_Extension_for_Uncertain_Event_Data/data)



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1. Pegoraro, Marco, Merih Seran Uysal, and Wil M.P. van der Aalst. "Discovering process models from uncertain event data." *International Conference on Business Process Management*. Springer, Cham, 2019.
2. Pegoraro, Marco, Merih Seran Uysal, and Wil MP van der Aalst. "Conformance checking over uncertain event data." *Information Systems* (2021): 101810.
3. Pegoraro, Marco, Merih Seran Uysal, and Wil MP van der Aalst. "PROVED: A Tool for Graph Representation and Analysis of Uncertain Event Data." *International Conference on Applications and Theory of Petri Nets and Concurrency*. Springer, Cham, 2021.